

# Innovation Management and New Product Development

Protecting Innovation:

An Overview of the Intellectual Property Rights

Fabiana Visentin

# Our agenda for the next 2 sections

- Short introduction of the players (you and I)
- Introduction to the IP system
  - Main questions:
    - What is intellectual property exactly?
    - Why intellectual property has to be protected?
    - How can be intellectual property protected?
  - Main learning points:
    - IP system as an instrument to protect innovations
    - IP system as an instrument to map innovations

## About me



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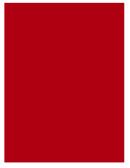
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# About you





## The crew

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Giubilesi	Filippo	1
<b>Kantereit</b>	Luise	1
Cortese	Federico	2
Spada	Paolo	2
Tuinenga	Thomas	2
Emma		2
Maccari	Filippo	3
Pizzinato	Luca	3
Ponsone	Alessandro	3
Turcotte	Jean Manuel	3
Gijsman	Nerine	4
Schneider	Marion	4
Marcosan	Salvatore	5



## Disclaimer

- The following slides build on the EPO teaching kit
- The original version is available at <a href="http://www.epo.org/learning-">http://www.epo.org/learning-</a> events/materials/kit/download.html

## Question

#### Who invented

- the personal computer (PC)?
- the point-and-click graphical user interface (GUI)?
- the laser printer?
- the Ethernet?

## **Answer**

They were all invented by Xerox PARC



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NAVIGATION:

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SPOTLIGHTS: 01

02 03 04

Metamaterials Looking to disrupt industries

FEATURES:



issues.

Yunda Wang

Yunda Wang's background covers advanced manufacture, thermal systems, microelectromechanical systems (MEMS) technology

and microsystem integration. Since joining PARC, he has developed an electrocaloric cooler by solving challenging manufacture and heat transfer buildings on Earth."

Interview: Tolga Kurtoglu, CEO, Palo Alto Research Center (PARC)

Engineering and Technology Magazine says "There can't be much doubt that Tolga Kurtoglu has one of the most exciting jobs in the world of technology innovation. After seven years in the wings, the new chief executive has taken up the challenge of one of the brainiest

who we work with



view all people

read the interview >



## What did Xerox PARC do wrong?

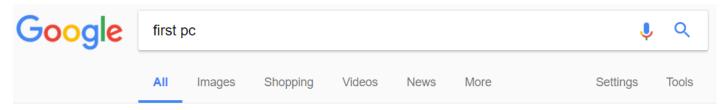
- They didn't patent the technologies they invented, and these technologies were later used by others with great success.
- They did not keep them secret.

## What do all these companies have in common?

- Apple
- 3Com
- Adobe Systems
- Microsoft
- IBM
- Hewlett Packard



#### The ALTO by Xerox



About 1.120.000.000 results (1,07 seconds)

Personal computer history doesn't begin with **IBM** or **Microsoft**, although **Microsoft** was an early participant in the fledgling PC industry. The first personal computers, introduced in 1975, came as kits: The **MITS** Altair 8800, followed by the IMSAI 8080, an Altair clone. Apr 26, 2014



Personal Computer History: The First 25 Years | Low End Mac

lowendmac.com/2014/personal-computer-history-the-first-25-years/



#### Xerox 9700



#### HP LaserJet printer, 1984

#### » Company information

- » About us
- » History
- » HP Timeline
- » Virtual museum
- » Early instruments
- » Personal systems
- » Imaging and printing
- » Chronological order
- » HP garage
- » Measure Magazine
- » HP Journal
- » Origins video
- » FAQ

#### » Virtual tour

Take this product for an interactive spin. The QuickTime plug-in is needed to view this presentation.

## QuickTime 5 REQUIRED

#### » Six views

View this product from six static angles.

The HP LaserJet was the first desktop laser printer, introduced in 1984 at about \$3,500. Nothing like it existed previously, and it created a totally new printer market—similar to what the HP-35 handheld calculator had done 12 years earlier. In December 2000, HP celebrated the shipment of the 50 millionth LaserJet printer.

In the 1980s, with the emergence of the personal computer market, owners of desktop computers wanted easy and direct access to their printers. Other manufacturers were producing two types of impact printers—dot-matrix or daisy-wheel units, both of which were noisy. In addition, dot-matrix printers had poor resolution, and daisy-wheels



The HP LaserJet was the world's first desktop laser printer.

allowed only a limited number of fonts to be used. HP realized the field was wide open for product innovations.

## What happens if you don't protect your IP?

- You're not protected!
- Others will be happy to capitalise on your ideas ... for free!

## Back to basics: what do we mean by IP?

Intellectual property is the product of imagination and creativity.

### Where can IP be created?

- Intellectual property can be a product of the imagination in:
  - artistic fields
  - aesthetic fields
  - commercial fields
  - technical fields

## Intellectual property and "ideas"

- Intellectual property is the result of "ideas".
- "Ideas" themselves cannot be protected.
- But if you can embody them (by recording, writing down, describing, etc.) they might become protectable.

## How can IP be protected?

- Ideas can be protected by a whole range of IPRs.
- The type of IPR will be different depending on the idea.

## The different types of IP (I)

**Legal right** What for? How? Application and New inventions **Patents** examination Application and Utility models New inventions registration Original creative or **Exists** Copyright automatically artistic forms

## The different types of IP (II)

What for? How? Legal right Distinctive identification Use and/or Trade marks of products or services registration Registered External appearance Registration designs Valuable information Reasonable efforts Trade secrets not known to the public to keep secret

## One product - many IP rights

#### **Trade marks**

- NOKIA
- Product "208"
- Start-up tone

#### Copyright

- Software
- User manuals
- Ringtones
- Start-up tone
- Images



#### Patents and utility models

- Data-processing methods
- Operating system
- Operation of user interface

#### **Designs**

- Form of overall phone
- Arrangement and shape of buttons
- Position and shape of screen

#### **Trade secrets**

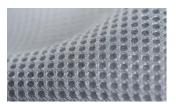
Some technical know-how kept "in-house" and not published

## The importance of intellectual property (I)

- IP is an essential business asset in the knowledge economy
  - Sandvik AB: innovative high-technology tools and steel technology
  - ARM Holdings: licenses its technology to microprocessor companies
  - IP protects small innovative firms
    - W. L. Gore & Associates: GORE-TEX<sup>®</sup>
    - Dolby Laboratories: invented noise-reduction technology



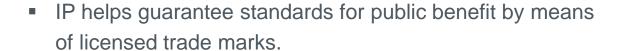






## The importance of intellectual property (II)

- IP is needed to enable the release of IP into the public domain under controlled conditions.
  - General Public License (GPL): Linux
  - Creative Commons License



- Fairtrade International (FAIRTRADE)
- Forest Stewardship Council (FSC)





## The IP System

#### **Innovators**

make significant investments in developing new products



Competitors

benefit from their efforts



Heavy pressure may drive the innovator out of business



Can offer similar or identical products at a cheaper price



Get a free ride on the back of the innovator's creativity and inventiveness



## **IP** system

Rights over the use of inventions, designs, brands, literary and artistic works

## **Examples of valuable intellectual property**



Coca-Cola®



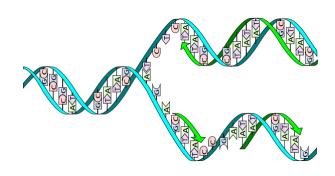
Apple® iPod touch®



Harry Potter



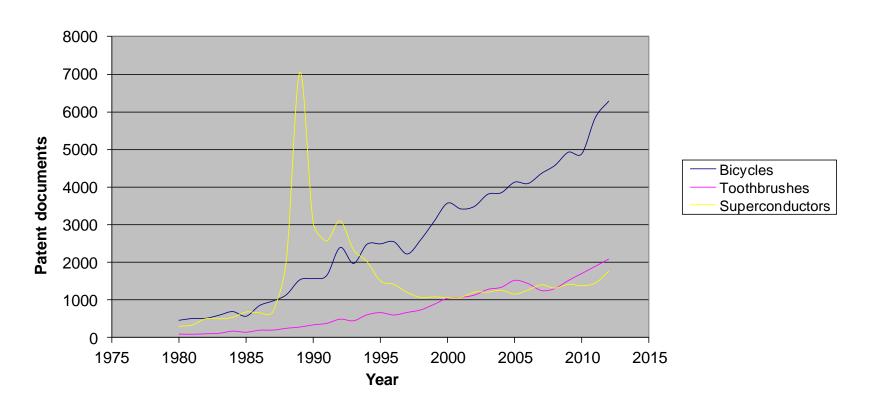
Polaroid® instant camera



DNA copying process

## **PATENTS**

## Patents are all around us









## The role of the patent system

- To encourage technological innovation
- To promote competition and investment



- To provide information on the latest technical developments
- To promote technology transfer





### Patents as a social contract



Patent applicant

Reveal invention (disclosure)



(patent)



**Public** 

## Rights conferred by patents

 Right to prevent others from making, using, offering for sale, selling or importing infringing products in the country where the patent was granted



Exception: non-commercial purposes (private use, academic research)

Right to assign, sell or license these rights





These rights belong to the patent holder.



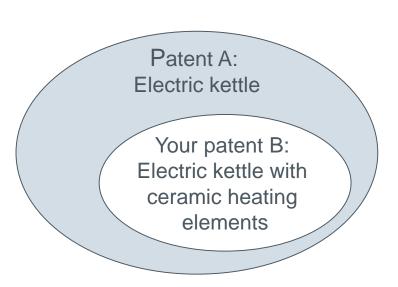
## What is a patent?

Does a patent give you the right to exploit an invention?

#### - **NO!**

- A patent is a negative right. It gives you the right to prevent others from exploiting the invention. It is not an enabling right.
- Patents owned by others may overlap or encompass your own patent.
   Seek a licence before commercialising

For example:



## What do patent documents look like?

Date of EP 1 520 497 A2 **EUROPEAN PATENT APPLICATION** publication (43) Date of publication (51) Int CI.7: A47G 19/22, C02F 1/00 06.04.2005 Bulletin 2005/14 (21) Application number: 04256130.8 Date of (22) Date of filing: 04.10.2004 (72) Inventor: Scott, Michael James (84) Designated Contracting States: AT BE BG CH CY CZ DE DK EE ES FI FR GB GR Isle of Man IM9 5PH (GB) filing HU IE IT LI LU MC NL PL PT RO SE SI SK TR Designated Extension States (74) Representative: Samuels, Adrian James Frank B. Dehn & Co., (30) Priority: 03.10.2003 GB 0323237 London EC4V 4EL (GB) 27.02.2004 GB 0404293 **Applicant** (71) Applicant: STRIX LIMITED A request for correction of the drawings has been Ronaldsway, Isle of Man IM9 2RG (GB) filed pursuant to Rule 88 EPC. A decision on the Designated Contracting States: request will be taken during the proceedings before the Examining Division (Guidelines for Examination in the EPO, A-V, 3.). Water Storage Apparatus (57) A water treatment and storage vessel has a restion 2 is provided for receiving and storing treated water which comprises a Peltier-effect device 25 for removing ervoir 50 for untreated water and filter means 51 in fluid heat from treated water therein, thereby cooling the wacommunication with the reservoir 50. A main vessel por **Abstract** Printed by Jouws, 75001 PARIS (FR)

Application number Technical class Inventor



#### Claims

 A portable water treatment and storage vessel comprising:

a reservoir for untreated water;

filter means in fluid communication with said reservoir; and

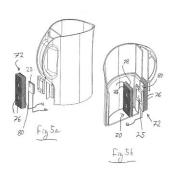
a main vessel portion for receiving and storing treated water:

wherein said main vessel portion comprises electro-thermal cooling means for removing heat from the treated water therein, thereby cooling the water.

Claim(s)



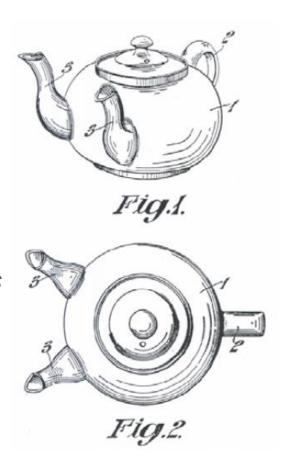
#### Description



Drawing(s)

## What does the description contain?

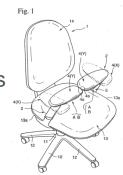
- Prior art
  - teapot with one spout
- Drawback of prior art
  - time-consuming
- Problem to be solved
  - reduce filling time for multiple cups
- Solution
  - provide a second spout
- Advantage of the invention
  - filling time is reduced



## What can and can't be patented

Patents protect technical inventions which solve technical problems:

Products, devices, systems





- Chemical substances, pharmaceuticals
- Processes, methods, uses







For an invention to be patentable, it must usually be

- ✓ new to the world (i.e. not available to the public anywhere in the world)
- ✓ inventive (i.e. not an "obvious" solution), and
- ✓ susceptible of industrial application

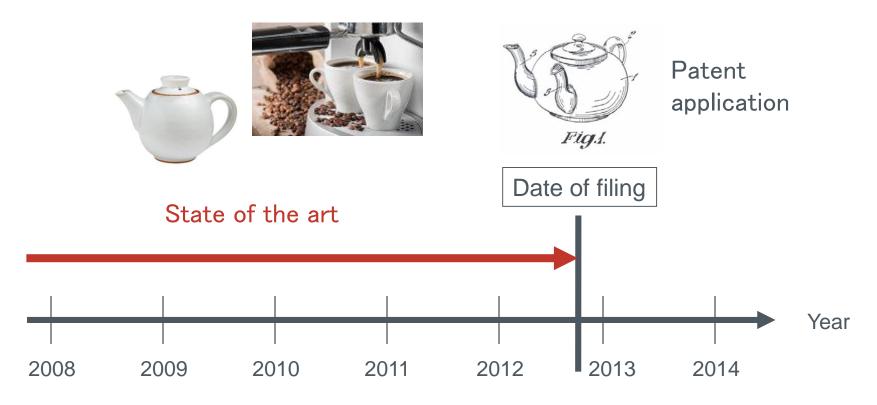
In most countries, patents are not granted for mere business methods or rules of games, or for methods of treatment, diagnostics and surgery of the human or animal body, or for inventions that are contrary to *ordre public* or morality, or for plant and animal varieties.



## When is an invention "new"?

- When it is not part of the state of the art
- State of the art =
   everything made available to the public before the date of filing

Keep your invention confidential until you have filed your application!



## Do's and don'ts for safeguarding novelty



# agons Hays slides after the warning and the same than the





#### Don'ts

- Do not publish any articles, press releases, conference presentations/ posters/ proceedings, lectures or blog posts, etc. before you file
- Do not sell any products incorporating the invention before you file

#### Do's

- Sign a non-disclosure agreement (NDA)
- Seek professional advice at an early stage
- File before anyone else does!



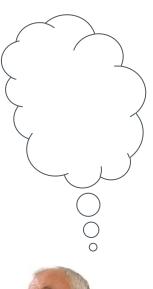


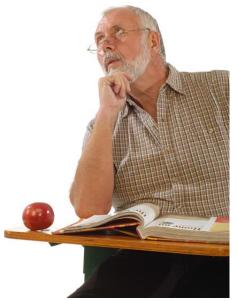
#### When is an invention "inventive"?

- When it is not obvious to the person skilled in the art in view of the state of the art
- The person skilled in the art
  - is a skilled practitioner in the relevant technical field
  - has access to the entire state of the art
  - is aware of general technical knowledge
  - is capable of routine work



He knows EVERYTHING, but has ZERO imagination!





## **Assessing novelty**

Claim: A pouring vessel comprising

- (a) a compartment for liquids (1),
- (b) a handle (2),
- (c) a lid, and
- (d) two spouts (5) extending from the compartment (1),
- (e) whereby the tops of the two spouts are arranged at the same height.



Fig.1.

The prior art search revealed the following documents:

#### Document D1:

A teapot with one spout.



#### Document D2:

High efficiency distributor for fertilizer. Each rod has several nozzles for spraying liquid.

#### Document D3:

A filter handle with two spouts to be used with a coffee-maker.



#### Document D4:

An oil and vinegar bottle which reveals a second bottle inside. The two spouts are cleverly arranged to ensure the second bottle never drips while the first one is in use.



## Assessing inventive step (I)

- Determine the closest prior art and common features:
  - (a) a compartment for liquids
  - (b) a handle
  - (c) a lid
  - (d) one spout



- Differences over D1:
  - two spouts instead of one
  - particular arrangement of the spouts



- Drawback of prior art:
  - time-consuming
- Advantage/effect of the invention:
  - the time needed to fill multiple cups is reduced
- Objective problem to solve:
  - how to modify the teapot of D1
     to reduce the time needed to fill multiple cups



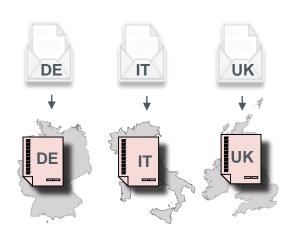
## Assessing inventive step (II)

Is the claimed solution obvious in view of the prior art? D2 **D1** Fig.1. D3 Objective problem for the skilled person: How to modify the teapot of D1 in order to reduce the time needed to fill multiple cups

# How to obtain patent protection in Europe (options 1 and 2)

#### The national route

- Separate procedures for each state
- Procedures differ according to national law



## The regional route: European Patent Convention

- One application filed at one office for up to 42 states
- One procedure
- Applicant selects the desired states
- One European patent for up to 42 states





## How to obtain patent protection in Europe (option 3)

The international route: Patent Cooperation Treaty (PCT)

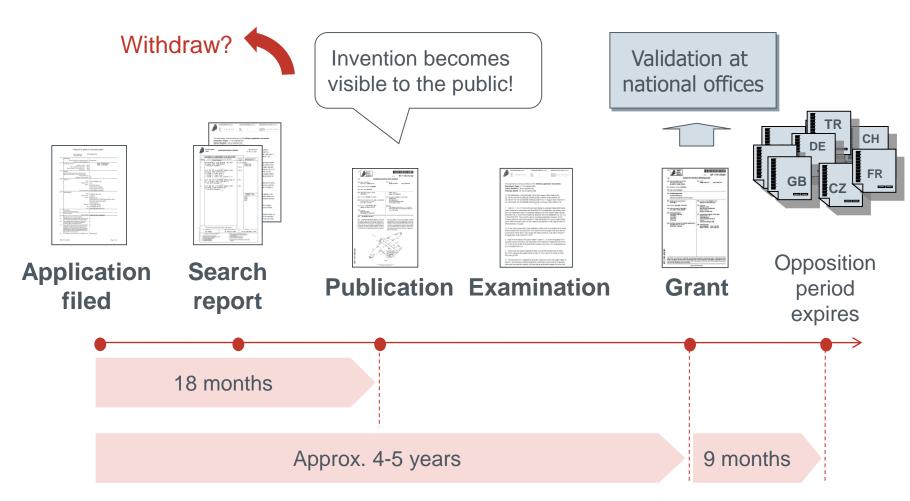
- One single application for up to 148 countries\*
- Harmonisation of formal standards (language, patent agent, fees)
- Search report and opinion on patentability
- After 30-31 months, decision by applicant on which countries to proceed in.



\*December 2013

## The grant procedure before the EPO





# What can happen after a European patent has been granted?

- Opposition
- Limitation/revocation
- Renewal fees
- Invalidity proceedings (before a court)
- Infringement proceedings (before a court)







### What is infringement?

- Making use of a patented product or process without the consent of the patent owner
- Making, offering, putting on the market, importing or stocking the product
- Making, offering, putting on the market, importing or stocking a product directly obtained from a protected process
- Using a process or offering the process for use







- Infringement is determined by the national courts or by the Unified Patent Court (once it enters into force)
- What constitutes infringement in one country may differ from other countries
- Patent proprietors can claim damages and other remedies from alleged infringers

## How is infringement determined? (I)

#### **Claims**

- Define the features of the invention = matter for which protection is sought
- Description and drawings are used to interpret the claims

#### **Extent of protection**

- Everything that is literally covered by the claims
- May also encompass equivalents



Infringement occurs when the infringing product possesses all the features of the claimed invention

Example:

Are PAPER-FIX infringing HAIRY-CUT's patent?





PAPER-FIX produce scissors with eye rings covered by plastic in Italy and sell them in the UK

HAIRY-CUT have a UK patent claiming cutting means with two eye rings



## How is infringement determined? (II)

## Are PAPER-FIX infringing HAIRY-CUT's patent?

Cutting means with two eye rings

HAIRY-CUT's
UK patent

PAPER-FIX
sell
in UK
Scissors with
plastic eye rings

1. Generally speaking, production and sale are acts of infringement.



**2. UK:** Yes. The scissors are within the extent of protection.



3. Italy: No. HAIRY-CUT do not have a patent in Italy. PAPER-FIX and others can freely produce insulated scissors (provided no one else has a patent there → perform patent search!)



What about the garden shears imported into the UK by SHEAR-MAN?

**UK:** No. The shears do not have eye rings. They are outside the extent of protection.

## Advantages and disadvantages of getting a patent

#### **Advantages**

- Exclusivity enables investment and higher returns on investment
- Strong, enforceable legal right
- Makes invention tradable (licence, sale)

#### **Disadvantages**

- Reveals invention to competitors (after 18 months)
- Can be expensive
- Grant may take 3-5 years
- Patent enforceable only after grant; proceedings can be costly

#### **Alternatives to patenting**

#### Disclose (publish) the information

- Cheap
- Prevents others from patenting the same invention
- Does not offer exclusivity
- Reveals the invention to competitors

#### Keep it a secret

- Cheap (but there is the cost of maintaining secrecy)
- Does not reveal the invention
- No protection against reverseengineering/duplication of invention
- Difficult to enforce
- Secrets often leak quite fast

#### Do nothing

No effort required

- Does not offer exclusivity
- Competitors will often learn details

## What to consider before filing an application

#### **Should you patent your invention?**

Cost/benefit analysis





#### Is your invention patentable?

- Conduct a prior art search
- Get advice on legal requirements





**Have you clarified the rights to the invention** with the company, its employees and business partners?





**SEEK LEGAL ADVICE!** 



# What might happen if I decide not to patent my invention?

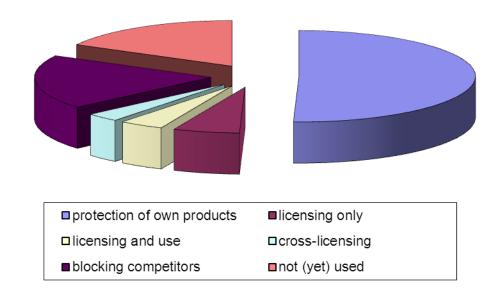
Somebody else might patent it!

Competitors might take advantage of it!

Potential for licensing, selling or transferring the technology would be severely curtailed!

## How patents are used

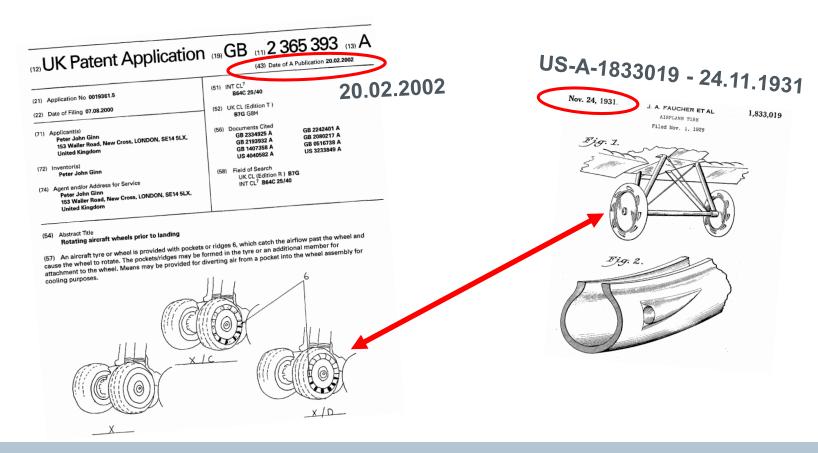
- Protecting products and processes
  - increasing turnover and profits
  - attracting investors
- Licensing
- Cross-licensing
- Blocking competitors
- Building reputation
- Not (yet) used



Survey of approx. 7 000 European patents in 2005

## Re-inventing the wheel - literally

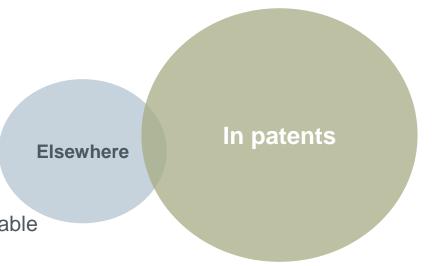
- 15-25% of all R&D efforts are wasted each year on inventions that have already been invented.
- Don't start your R&D until you have done a search!

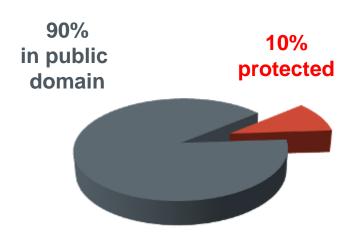


## Solutions found in patent documents

Where do competitors publish their R&D?

Approximately 80% of the information which can be found in patents is not available anywhere else in comparable detail.





## You can find many great solutions for free!

#### Reasons

- Applications rejected/withdrawn or patent invalidated
- Payment of renewal fees discontinued
- Patents have lapsed

54

## Cover page of a typical patent document



P 1 075 798 B1

Printed by Jouve, 75001 PARIS (FR)

## The parts of a patent document (I)

Title

#### Abstract

Short summary of the invention

#### Description

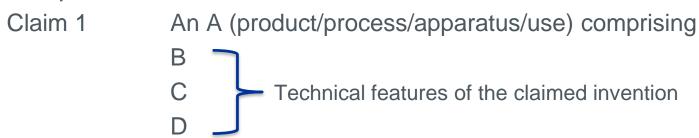
- Field of the invention (the technical area to which the invention relates)
- Background of the invention (details of the prior art)
- Detailed description of the invention: how does the invention provide a technical solution to the technical problem?

## The parts of a patent document (II)

- Description (cont.)
  - Brief description of the drawings
  - Detailed description of at least one way of carrying out the invention (embodiment of the invention)
- Claims
  - What is the scope of the invention/the protection sought?
- Drawings (if any)

#### More about the claims

- Two types of claim
  - Independent claims: the invention in its broadest scope
  - Dependent claims: any claim which includes all the features of any other claim
- Independent claim



Dependent claim



## Requirements for patentability

The invention must be

- new/novelAND
- inventiveAND
- industrially applicable

### The test for novelty

- The test for novelty is an objective test.
- Are all the components of the claim of the invention known?
- Are they disclosed as the state of the art in existing products or publications?
- The disclosure can be anywhere in the world and in any form.
- The disclosure is relevant if it was made before the filing/priority date of the patent application concerned.
- All it takes to destroy novelty is for a single prior art item to disclose all the features
  of the claimed invention.

## Two examples

- Sugru
- Hövding airbag cycle helmet

## Sugru (I)

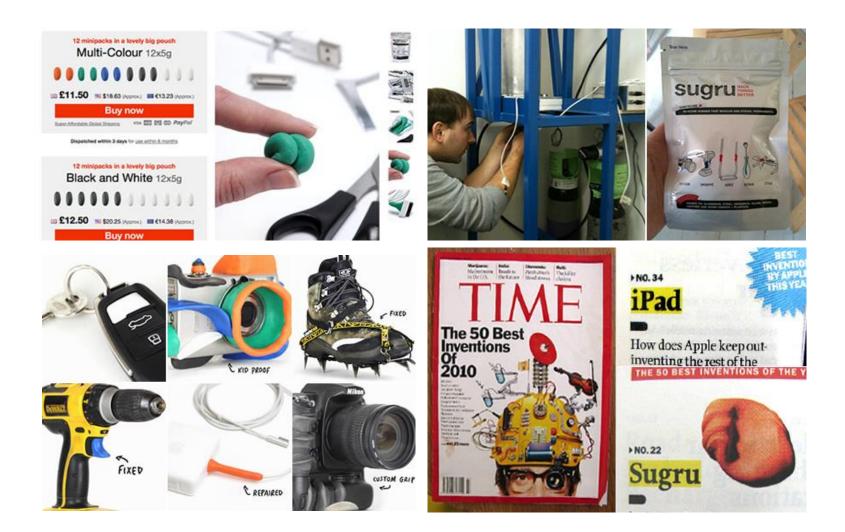
- Original idea from student Jane Delehanty for her master's degree in product design from the Royal College of Art.
- Problem: So many products have a limited lifetime and physical parts seem to break all the time.
- Solution: A silicone rubber which is hand-formable, sticks to almost anything, air cures at room temperature, becomes strong and durable even in extreme weather conditions and has a soft touch, but is "grippy".
- Called sugru, from the Irish "sugradh" meaning "play".

## Sugru (II)

#### Advantages

- It is a pliable substance which quickly sets to form a firm repair, mount or grip.
- It has the mouldability of a high-temperature curing silicone but retains the adhesive properties and room-temperature curing of glues and sealants.

## What does sugru look like?



## History of the sugru patent

- Priority application filed on 30 November 2006
- PCT application filed on 29 November 2007
- PCT application published on 5 June 2008
- Entered regional phase in Europe, national phases in the US, UK and China
- European patent already granted

#### **Exercise 1**

#### Discussion

- 1. What do you think the inventive concept is?
- 2. What do you think the applicants claimed in their application?
  - a product
  - a process
  - a composition
  - all of the above

## Claims at the PCT stage

There are ten claims in total.

- Claim 1: Independent claim directed to a composition
- Claims 2-10: Dependent claims
- Claim 9: Product claim of the composition of claims 1 to 6
- Claim 10: Process claim for producing a product according
  - to claims 1 to 6

## Claim 1 of the PCT application

"A one part <u>room temperature curable silicone elastomer</u> composition where the uncured composition has a Williams plasticity from 80 mm to 900 mm."

#### Is it novel?

- Priority date: 30 November 2006
- Test for novelty: Did any document/publication exist before 30 November 2006 which, when taken alone, discloses the invention claimed in the sugru application?
- First published search report states claims 1 to 10 may not be novel and/or inventive. Why?
- The examiner cited seven prior art documents:
  - EP0575863A dated 29 December 1993
  - US5171773A dated 15 December 1992
  - US4476155A dated 9 October 1984
  - GB2288406A dated 18 October 1995
  - EP0905195A dated 31 March 1999
  - US2006/142472A1 dated 29 June 2006
  - WO03/072267A dated 4 September 2003

## What did the applicants do next?

#### Options

- Abandon the patent application or
- Request a preliminary examination (optional) and/or
- Enter the national/regional phase
- Decision
  - To continue prosecution by entering the national/regional phase in Europe, the USA, the UK and China
- The claims had to be amended to ensure they were novel and inventive

## Comparison between original PCT claim 1 and the amended EP version

#### International patent application

#### Amended granted EP claim

A: A one part room temperature curable silicone elastomer composition

**B:** where the uncured composition has a Williams plasticity from 80 mm to 900 mm.

**A:** A one part room temperature curable silicone elastomer composition

**B:** where the uncured composition has a Williams plasticity from 80 mm to 900 mm, and

**C:** where the composition is a non-adhesive composition, the composition comprising:

**D:** 20 to 60% by weight of a hydroxy-terminated poly(dimethylsiloxane) of viscosity greater than 350 000 mPA s (25° C);

**E:** 3 to 66% by weight of a reinforcing filler; D: 10 to 60% by weight of a non-reinforcing filler:

**F:** 2 to 6% by weight of a crosslinker and **G:** a suitable quantity of a curing catalyst.

## Patent status of sugru as of March 2013

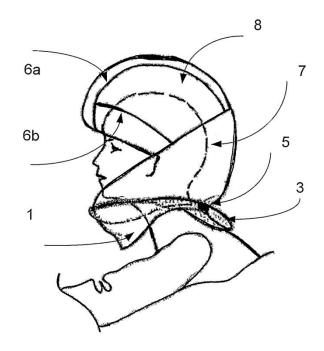
- Granted EP patent: validation in the designated contracting states is in progress
- Examination has been requested in the other countries

# **Example 2: Hövding airbag cycle helmet**

- Swedish inventors Anna Haupt and Terese Alstin from Lund University
- Problem: Regardless of safety, people do not like to wear helmets while riding their bike as it ruins their hair-do and does not look cool
- Solution: Airbag helmet
- What is it? A collar containing an airbag with helium as the inflating agent and sensors including gyroscopes and accelerometers

# What does the airbag helmet look like?





www.youtube.com/watch?v=WCd8qQv6Htw&feature=plcp

### **Exercise 2**

- What do you think the inventive concept is in this case?
- What do you think the applicants claimed?
- How would you have structured a suitable claim?

# What did Hövding claim in their PCT application?

- A system for protecting a portion of the body of a user in case of an abnormal movement, such as a fall or a collision (product claims 1 to 9)
- A method for protecting a head of a user in case of an abnormal movement, such as a fall or a collision (method claims 10 to 12)

# Claim 1 of Hövding's PCT application

- (A) A system for protecting a portion of the body of a user in case of an abnormal movement, such as a fall or a collision, wherein said system comprises
- (B) an apparel and
- (C) an airbag arranged therein: characterised in that said airbag comprises:
- (D) a first part suitable for surrounding a neck portion and back head portion of a user after inflation; AND
- (E) a second part suitable for forming a hood surrounding a skull of a user after inflation,
- (F) said first part and second part being folded and arranged in said apparel before inflation.

### Is it novel?

- Priority date: 26 October 2005
- Test for novelty: Did any document/publication exist before 26 October 2005 that, when taken alone, discloses the invention claimed in the patent application?
- International search report states claims 1 to 9 may not be novel and/or inventive. Why?
- The examiner cited three prior art documents:
  - DE1975451A1 dated 10 June 1999
  - DE3616890A1 dated 26 November 1987
  - WO0154523 dated 2 August 2001

# What did the applicants do next?

### Options

- Abandon the patent application or
- Request a preliminary examination and/or
- Enter the national/regional phase in various countries
- Decision
  - To continue prosecution by requesting optional international preliminary examination report (IPER issued)
- The claims had to be amended to ensure they were novel and inventive

# Comparison between original PCT claim 1 and the amended claim

## Original claim 1

A: A system for protecting a portion of the body of a user in case of an abnormal movement, such as a fall or a collision, wherein said system comprises

B: an apparel and

C: an airbag arranged therein: characterized in that said airbag comprises:

**D:** a first part suitable for surrounding a neck portion an back head portion of a user after inflation; AND

**E**: a second part suitable for forming a hood surrounding a skull of a user after inflation,

F: said first part and second part being folded and arranged in said apparel before inflation.

### Amended claim 1

A: A system for protecting a portion of the body of a user in case of an abnormal movement, such as a fall or a collision, wherein said system comprises

B: an apparel and

C: an airbag arranged therein: **characterized in that** said airbag **comprises**:

**D:** a first part suitable for surrounding a neck portion an back head portion of a user after inflation; AND

E: a second part suitable for forming a hood surrounding a skull of a user after inflation,

**F:** said first part and second part being folded and arranged in said apparel before inflation, and

**G:** said first part being adapted for inflation prior to inflation of the second part.

# What did the examination report say and what happened next?

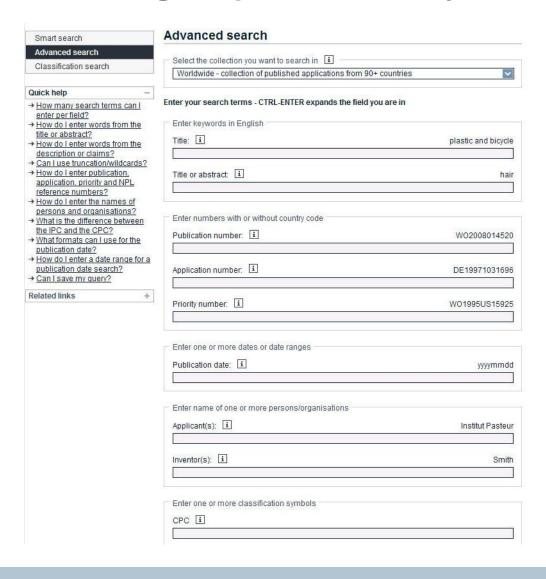
- Examination report: claims 1 to 12 are new and inventive.
- Consequences: entry into national/regional phase in various countries and regions, including China, Europe, Japan, Russia, Sweden and the United States.

# **DATABASES**

### What is a database?

 A database is a collection of independent works, data or other materials arranged in a systematic or methodical way and individually accessible by electronic or other means.

# Searching for patents is easy



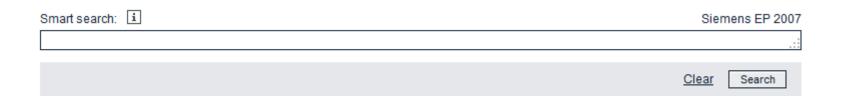






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# **Smart search**



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Advanced search

# The front page



# Espacenet Patent search Deutsch English Français Contact Contact Change country ▼



# Bibliographic data: EP1000000 (A1) — 2000-05-17 ★ In my patents list → EP Register ■ Report data error



### → What does A1, A2, A3 and B stand for after a European publication number?

Quick help

INPADOC patent family

- → What happens if I click on "In my patents list"?
- → What happens if I click on the "Register" button?
- → Why are some sidebar options deactivated for certain documents?
- → How can I bookmark this page?
- → Why does a list of documents with the heading "Also published as" sometimes appear, and
- what are these documents?

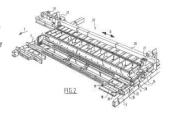
  → Why do I sometimes find the
  abstract of a corresponding
  document?
- → What happens if I click on the red "patent translate" button?

### Abstract of EP1000000 (A1)

Priority number(s): NL19981010536 19981112



The invention relates to an apparatus (1) for manufacturing green bricks from clay for the brick manufacturing industry, comprising a circulating conveyor (3) carrying mould containers combined to mould container pants (4), a reservoir (5) for clay arranged above the mould containers, means for carrying clay out of the reservoir (5) into the mould containers, means (9) for pressing and trimming clay in the mould containers, means (11) for supplying and placing take-off plates for the green bricks (13) and means for discharging green bricks released from the mould containers, characterized in that the apparatus further comprises means (22) for moving the mould container parts (4) filled with green bricks such that a protruding edge is formed on at least one side of the green bricks.



Sitemap Accessibility Legal notice Terms of use Last updated: 11.06.2014 Worldwide Database 5.8.20; 92p

Also published as: ☐ EP1000000 (B1) ☐ US6093011 (A) ☐ NL1010536 (C2) → AT232441 (T)



Print

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Δ.

Europäisches Patentamt European Patent Office Office européen des brevets

1) EP 1 000 000 A1

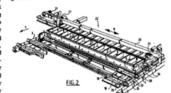
### EUROPEAN PATENT APPLICATION

- (43) Date of publication: 17.05.2000 Bulletin 2000/20
- (51) Int. Cl.7: B28

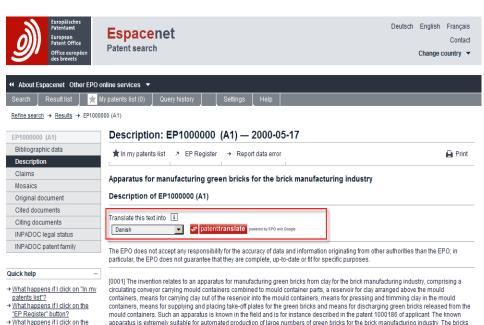
B28B 5/02, B28B 7/00, B28B 1/29

- (21) Application number: 99203729.1
- (22) Date of filing: 08.11.1999
- (84) Designated Contracting States: AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE Designated Extension States: AL LT LY MK RO SI
- (30) Priority: 12.11.1998 NL 1010536
- (71) Applicant: Beheermaatschappij De Boer Nijmegen B.V. 6541 BS Nijmegen (NL)
- (72) Inventor: Kosman, Wilhelmus Jacobus Maria 6562 DA Groesbeek (NL)
- (74) Representative: Schumann, Bernard Herman Johan et al Arnold & Siedama, Advocaten en Octrooigemachtigden, Sweelinckplein 1 2517 QK Den Haag (NL)
- (54) Apparatus for manufacturing green bricks for the brick manufacturing industry
- (67) The invention relates to an apparatus (1) for manufacturing green bricks from clay for the brick manufacturing industry, comprising a circulating conveyor (3) carrying mould containers combined to mould container parts (4), a reservoir (5) for cley arranged above the mould containers, means for carrying clay out of the reservoir (5) into the mould containers, means (9) for pressing and trimming clay in the mould containers, means (11) for supplying and placing take-off plates for the green bricks (13) and means for discharging green bricks released from the mould containers, characterized in that the apparatus further comprises means (22) for moving the mould container parts (4) filled with green bricks such that a

protruding edge is formed on at least one side of the green bricks.



# The description



mould containers. Such an apparatus is known in the field and is for instance described in the patent 1000186 of applicant. The known apparatus is extremely suitable for automated production of large numbers of green bricks for the brick manufacturing industry. The bricks fired from these green bricks have a substantially smooth, uniform appearance.

[0002] A recent demand has developed on the market for bricks which appear as if they have been manufactured according to traditional

100031 The invention has for its object to adapt the known apparatus such that it can produce in automated manner large numbers of green bricks with a traditional appearance.

[0004] For this purpose the apparatus according to the invention has the feature that the apparatus further comprises means for moving the mould container parts filled with green bricks such that a protruding edge is formed on at least one side of the green bricks.

[0005] The bricks fired from the green bricks produced using the apparatus according to the invention impart beautiful shadow effects to the wall into which they have been built when the sun shines thereon. This aesthetic effect is an important commercial advantage.

[0006] The edge-forming means are preferably adapted to move the mould container parts repeatedly for a certain period. Repetition a number of times, for instance three times, is found in practice to be sufficient to obtain the intended effect.

[0007] In a practical preferred embodiment the edge-forming means are adapted to move the mould container parts substantially

[0008] In a further preferred embodiment the edge-forming means comprise a frame which is adapted to engage individually on a mould container part. This preferred embodiment has the significant advantage that the edge-forming means can act on one mould container part while another mould container part undergoes another operation and is for instance filled with clay. The edge-forming means can therefore be added to the known apparatus without this affecting the production time.

### Description

45

The invention relates to an apparatus for manufacturing green bricks from clay for the brick manufacturing industry, comprising a circulating conveyor carrying mould containers combined to mould container parts, a reservoir for clay arranged above the mould containers, means for carrying clay out of the reservoir into the mould containers, means for pressing and trimming day in the mould containers, means for supplying and placing take-off plates for the green bricks and means for discharging green bricks released from the mould containers. Such an apparatus is known in the field and is for instance described in the patent 1000186 of applicant. The known apparatus is extremely suitable for automated production of large numbers of green bricks for the brick manufacturing industry. The bricks fired from these green bricks have a substantially smooth, uniform appearance.

A recent demand has developed on the market for bricks which appear as if they have been manufactured according to traditional methods.

The invention has for its object to adapt the known apparatus such that it can produce in automated manner large numbers of green bricks with a traditional appearance.

For this purpose the apparatus according to the invention has the feature that the apparatus further comprises means for moving the mould container parts filled with green bricks such that a protruding edge is formed on at least one side of the green bricks.

The bricks fired from the green bricks produced using the apparatus according to the invention impart beautiful shadow effects to the wall into which they have been built when the sun shines thereon. This aesthetic effect is an important commercial advantage.

The edge-forming means are preferably adapted to move the mould container parts repeatedly for a certain period. Repetition a number of times, for instance three times, is found in practice to be sufficient to obtain the intended effect.

[0007] In a practical preferred embodiment the edge-forming means are adapted to move the mould container parts substantially transversely of the transporting direction.

In a further preferred embodiment the edge-forming means comprise a frame which is adapted to engage individually on a mould container part. This preferred embodiment has the significant advantage that the edgeforming means can act on one mould container part while another mould container part undergoes another operation and is for instance filled with clay. The edge-forming means can therefore be added to the known apparatus without this affecting the production time.

In yet another preferred embodiment the frame spans the mould container part and is provided on both sides with stop members which are situated during operation at the location of the side walls of the mould container part. An exceptionally compact embodiment of the invention is hereby realized which utilizes the available space economically and can be arranged without difficulty on the known apparatus.

[0010] In order to prevent unnecessary damage to the mould container parts, these latter are provided on their side walls with stop surfaces, preferably of plastic. In preference the stop members of the frame of the edgeforming means are also provided with these, preferably plastic, stop surfaces.

[0011] The invention is described in more detail hereinbelow with reference to the drawing in which:

figure 1 shows schematically a preferred embodiment of the apparatus according to the invention;

figure 2 shows in more detail a perspective view of a part of the apparatus of figure 1 with the edge-forming means therein:

figure 3 shows the edge-forming means of figure 2 in even more detail;

figure 4 is a perspective view of a first preferred embodiment of a mould container part which is suitable for use in the apparatus according to the invention;

figure 5 is a perspective view of a second preferred embodiment of a mould container part; and

figure 6 shows schematically a part of a wall which has been built using bricks provided with an edge and fired from the green bricks manufactured using the apparatus according to the invention.

Like components are provided in the figures with like reference numerals.

Figure 1 shows a preferred embodiment of an apparatus for manufacturing green bricks for the brick manufacturing industry according to the invention. Apparatus 1 comprises a conveyor 3. Mould containers combined to a unit are placed in the form of a mould container part 4 on the conveyor. The mould container parts fit closely

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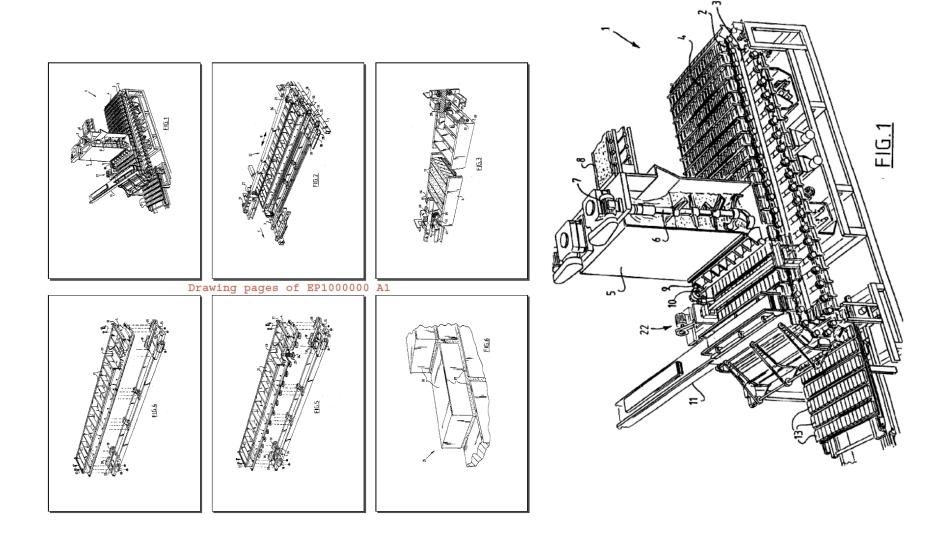
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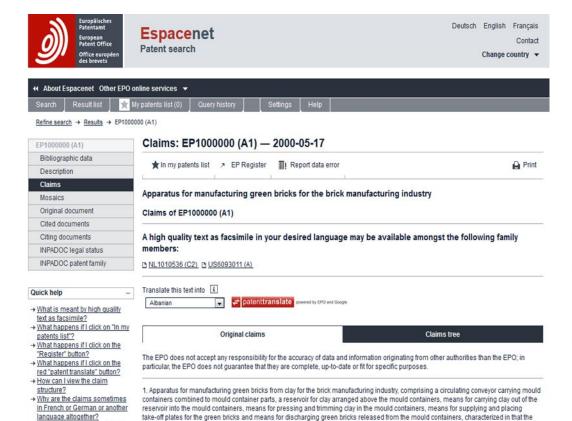
→ Why is the description sometimes in French or German

the description?

# The drawings



### The claims



apparatus further comprises means for moving the mould container parts filled with green bricks such that a protruding edge is formed on

2. Apparatus as claimed in claim 1, wherein the edge-forming means are adapted to move the mould container parts repeatedly for a

3. Apparatus as claimed in claim 1 or 2, wherein the edge-forming means are adapted to move the mould container parts substantially

circulating conveyor carrying mould containers combined to mould container parts, a reservoir for clay arranged above the mould containers, means for carrying clay out of the reservoir into the mould containers, means for pressing and trimming clay in the mould containers, means for supplying and placing take-off platies for the green bricks and means for discharging green bricks released from the mould containers, characterized in that the appearatus further comprises means for moving the mould container parts filled with green bricks such that a portruding edge is formed on at least one side of the green bricks.

- Apparatus as claimed in claim 1, wherein the edge-forming means are adapted to move the mould container parts repeatedly for a certain period.
- Apparatus as claimed in claim 1 or 2, wherein the edge-forming means are adapted to move the mould container parts substantially transversely of the transporting direction.
- Apparatus as claimed in any of the foregoing claims, wherein the edge-forming means comprise a frame which is adapted to engage individually on a mould container part.
- 15 S. Apparatus as claimed in claim 4, wherein the frame spans the mould container part and is provided on both sides with stop members which are situated during operation at the location of the side walls of the mould container part.
- Apparatus as claimed in claim 5, wherein the stop members are provided with stop surfaces which preferably comprise plastic.
- Apparatus as claimed in claim 5 or 6, wherein the mould container parts are provided on their side walls with stop surfaces which preferably comprise plastic.
- Apparatus as claimed in claim 4, 5, 6 or 7, wherein the edge-forming means comprise an eccentric drive for the frame.
  - Apparatus as claimed in any of the foregoing claims, wherein each mould container part is provided with a number of spacer members for supporting the take-off plates at a distance above the green bricks.
- Apparatus as claimed in any of the foregoing claims, wherein the mould container parts are fixed movably onto the conveyor with some clearance in the direction of movement.
- Apparatus as claimed in claim 10, wherein the conveyor is a chain conveyor and the mould container parts are coupled with some clearance in the direction of movement to a chain part connectable to the chain.

certain period.

at least one side of the green bricks.

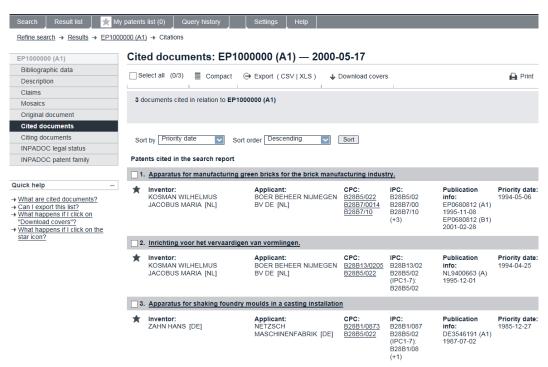
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→ What is Global dossier?
→ How can I view chemical

structures in the full text?

the claims?

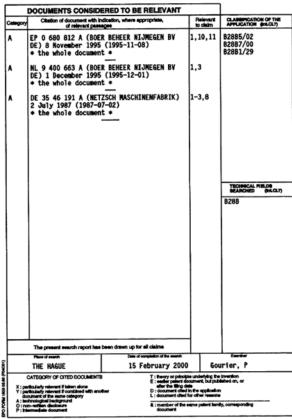
# The search report



### "Cited documents" view......

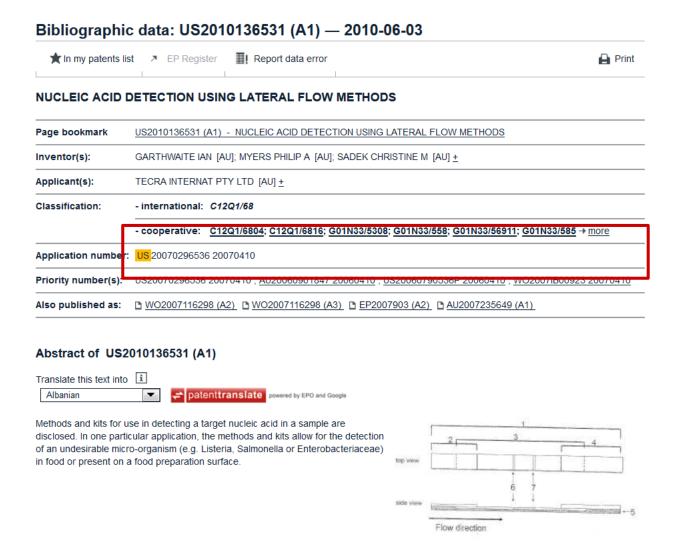




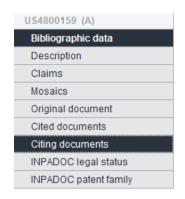


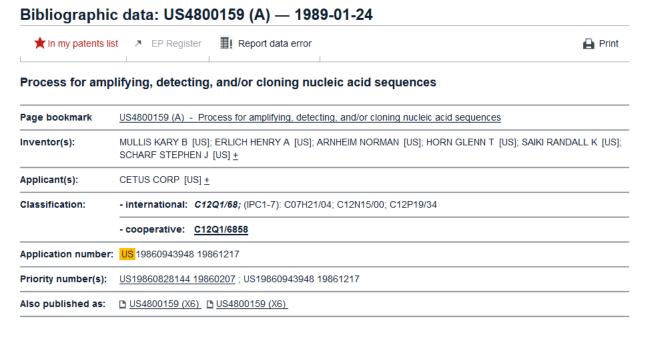
...corresponds to documents cited in the search report, found in the "Original document" view

# **Technology-specific: biochemistry**



# Commercial relevance: technological impact (I)





### Abstract of US4800159 (A)



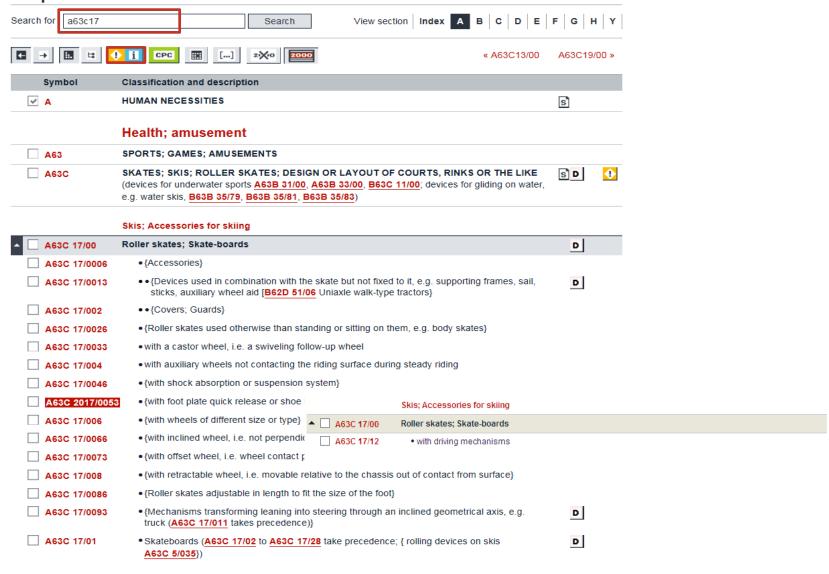
The present invention is directed to a process for amplifying and detecting any target nucleic acid sequence contained in a nucleic acid or mixture thereof. The process comprises treating separate complementary strands of the nucleic acid with a molar excess of two oligonucleotide primers, extending the primers to form complementary primer extension products which act as templates for synthesizing the desired nucleic acid sequence, and detecting the sequence so amplified. The steps of the reaction may be carried out stepwise or simultaneously and can be repeated as often as desired. In addition, a specific nucleic acid sequence may be cloned into a vector by using primers to amplify the sequence, which contain restriction sites on their non-complementary ends, and a nucleic acid fragment may be prepared from an existing shorter fragment using the amplification process.

### Commercial relevance: movers and shakers

# # In my patents list # EP Register # Report data error Process for amplifying, detecting, and/or cloning nucleic acid sequences # In my patents list # EP Register # Report data error # Print Process for amplifying, detecting, and/or cloning nucleic acid sequences # US4800159 (A) - Process for amplifying, detecting, and/or cloning nucleic acid sequences # Inventor(s): # MULLIS KARY B [US]; # RLICH HENRY A [US]; ARNHEIM NORMAN [US]; HORN GLENN T [US]; SAIKI RANDALL K [US]; SCHARF STEPHEN J [US] ± # Applicant(s): # CETUS CORP [US] ±

# **CPC** classification search by symbol (II)

### Cooperative Patent Classification



### **CPC** classification

- Keywords or classes
- "Concept search"
- Prepare offline (not in Espacenet document databases)
- Principle:
  - Find most appropriate classifications
  - Copy (into advanced search mask)
  - Refine search with keywords (do not repeat)
  - Other search terms

### **Exercise**

Imagine you need to look for computer-controlled ABS by means of microprocessors.

- How would you find suitable patent classifications?
- How would you find out how many patents the following companies have in this technology?
  - Citroën
  - Mitsubishi
  - Volkswagen
  - Nissan

### **Exercise**

In today's cars, the connection between the throttle pedal and the engine is made by electrical signals travelling through wires. The pedal sensor gauges how far the driver is pressing the throttle pedal and sends signals to the engine's control computer, which determines how much to open the throttle based on input from a variety of sensors, choosing a setting that will achieve the lowest exhaust emissions, the best fuel efficiency and good engine response. In 2010, Toyota had to recall many of its products because of a failure of the on-board drive-by-wire system.

How would you find the relevant Toyota patents?

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# **About the European Patent Register**

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relating to

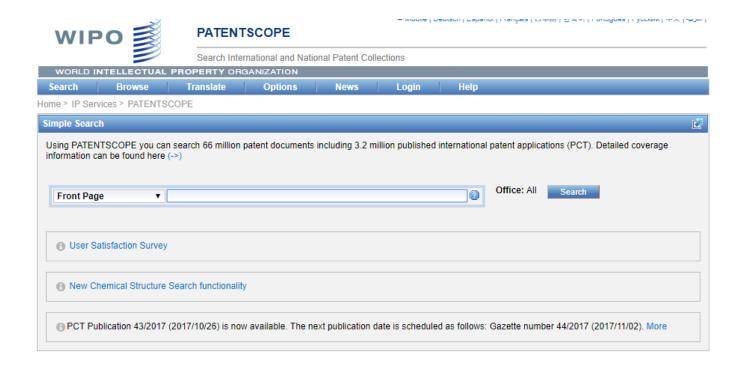
- EP applications
- PCT applications

at the EPO during the European phase



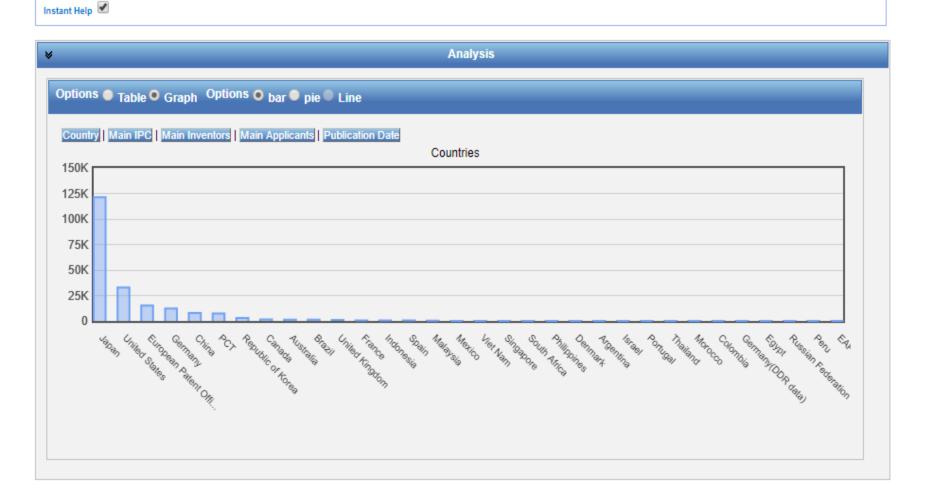
# **Exercise**

How many patent applications filed by Boeing are being opposed by Airbus?



# **PATENTSCOPE**

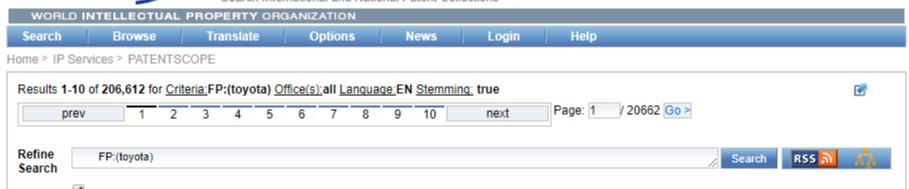
# PATENTING ACTIVITY AT TOYOTA

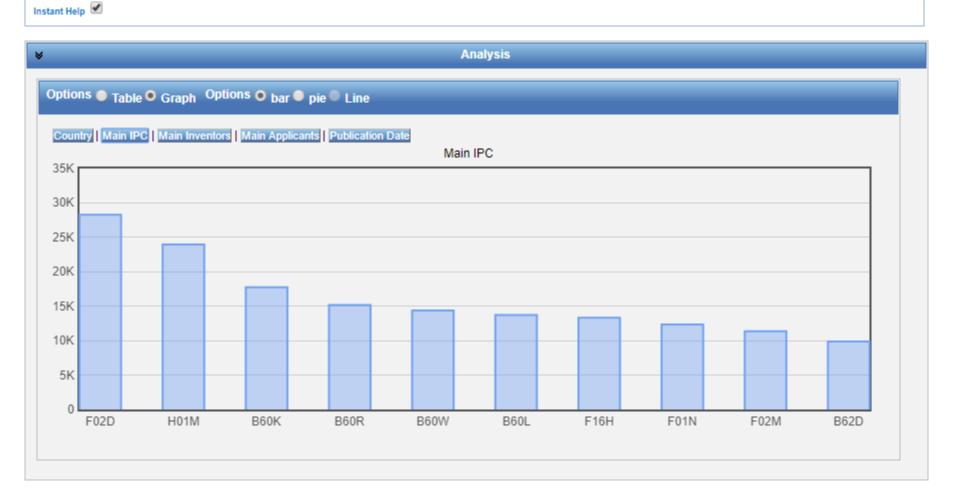


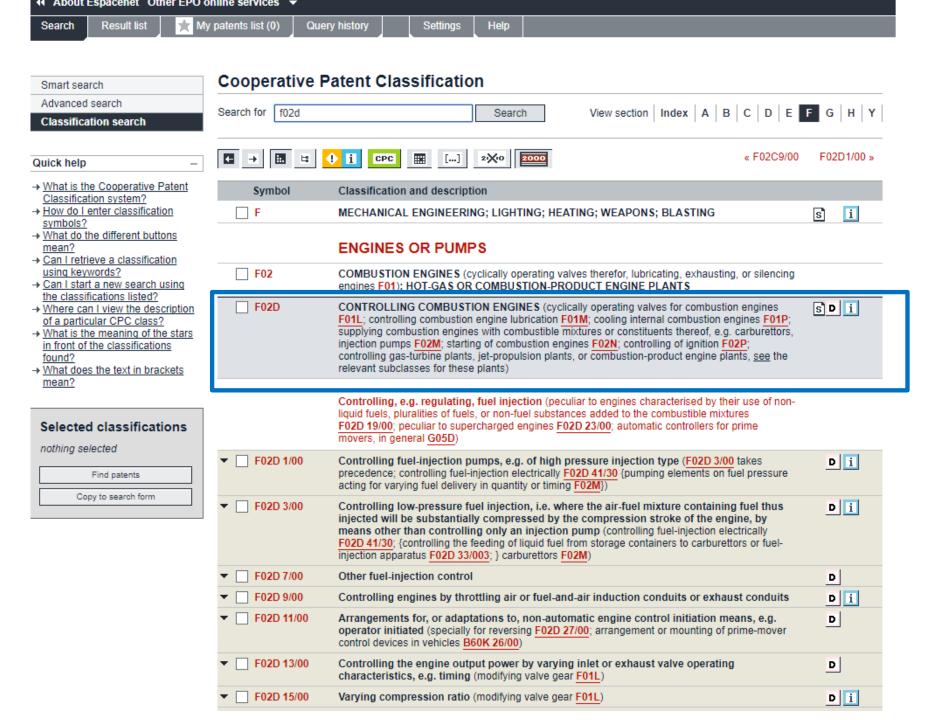


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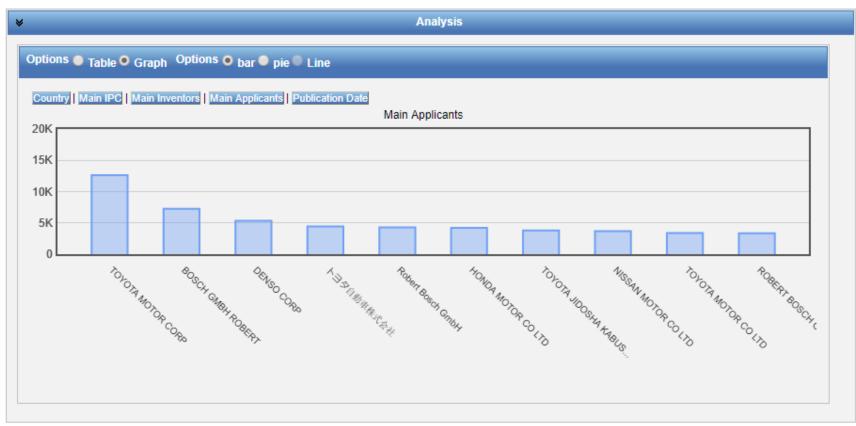
### **PATENTSCOPE**

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# If time allows

Other IP rights

# TRADE MARKS

#### What is a trade mark?

- A trade mark is any sign, capable of being represented graphically, which distinguishes the goods and services of one undertaking (company or organisation) from those of another
- Many different types: word, figurative, colour, shape
- Absolute grounds for refusal
  - Distinctiveness
- Relative grounds for refusal
  - When peaceful co-existence of marks is impossible

## **Routes for registration**

- National
- International
- EU
  - European Union Trade Mark

- Exclusive right, but
  - principle of speciality
  - principle of territoriality
- Potentially perpetual (renewal every ten years)
- Risk of loss of protection if:
  - not used after five years
  - found to be invalid
- Allowed uses

# **DESIGNS**

### What is a design?

- A design is the outward appearance of the whole or parts of a product resulting from its features.
- A product is any industrial or handicraft item.
- Requirements for protection
  - Novelty
  - Individual character
- Some exclusions

### Registered and unregistered design rights

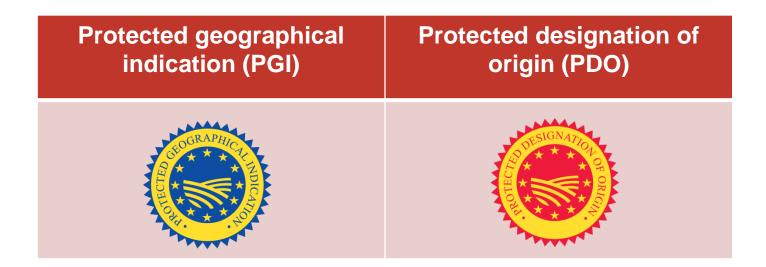
- National
- International
- EU
  - registered Community design
  - unregistered Community design

- Exclusive right
- Principle of territoriality
- Duration
  - registered design rights: maximum 25 years
  - unregistered design rights: 3 years
- Allowed uses

# **GEOGRAPHICAL INDICATIONS**

#### What are geographical indications?

- Geographical indications identify a good as originating in the territory of a country or a region or locality in that territory, where a given quality, reputation or other characteristic of the good is essentially attributable to its geographical origin.
- Protection under EU legislation



#### Difference between PGIs and PDOs

- Stricter conditions apply to PDOs:
  - Link between place name and product is essentially or exclusively due to the particular geographical environment.
  - All stages from production, processing and preparation are located in the defined geographical area.

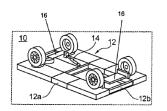
# **UTILITY MODELS**

### What is a utility model?



- A utility model grants the holder the exclusive right to prevent third parties from:
  - exploiting an invention (e.g. making, using, offering for sale)
  - without authorisation in the country where the utility model was registered for a short period (3 to 10 years).
- The holder has to disclose the invention to the public.

Reveal invention (disclosure)



Get protection (utility model)

#### Scope of protection compared with patents

#### **Utility models**

- Registered territorial IP right
- Available in limited number of countries
- No central filing in Europe
- Protection for 3 -10 years
- Search reports in some countries only
- Registered and published after a few months
- Generally no substantive examination (novelty, inventiveness)
- Reviewed only in revocation or infringement proceedings

#### **Patents**

- Registered territorial IP right
- Available in most countries
- Central filing possible (e.g. EPO for Europe)
- Protection for up to 20 years
- Search reports standard
- Application published after 18 months
- Substantive examination (novelty, inventive step)
- Grant or refusal after substantive examination procedure

# **PLANT VARIETY RIGHTS**

### What are plant variety rights?

- Exclusive exploitation rights for new plant varieties
- Four requirements for protection:
  - novelty
  - distinctness
  - uniformity
  - stability
- Right holder = breeder
- Obtained through registration

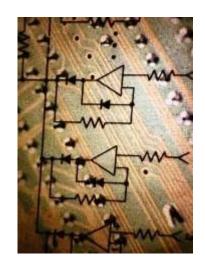
- Duration
  - At least 20 years
  - At least 25 years for varieties of vine and tree species
- Subject-matter
  - Propagating material
  - Harvested material
- Acts subject to authorisation
- Exceptions

# SEMICONDUCTOR TOPOGRAPHY RIGHTS

### What are semiconductor topography rights?

Semiconductor topography rights protect layout designs of integrated circuits.

- Three-dimensional components and layers and their interconnections
- Copying relatively easy
- Reverse engineering accepted practice



#### **Substantive requirements**

- Original, i.e. the result of the creator's own intellectual effort
- Not commonplace

#### Formal requirements

TRIPS member states may prescribe:

- registration
- disclosure of electronic function
- registration fee
- commercial exploitation

Rights prevent others from reproducing, selling or importing <u>part or all</u> of the protected design and of products incorporating it



#### **Duration of protection**

10-15 years from the date of creation of the layout design



#### **Exceptions and limitations**

- No infringement if for private use, research or teaching
- Reverse engineering to foster innovation
- Independent creation of an identical design
- Innocent infringement

# **COPYRIGHT**

#### What is copyright?

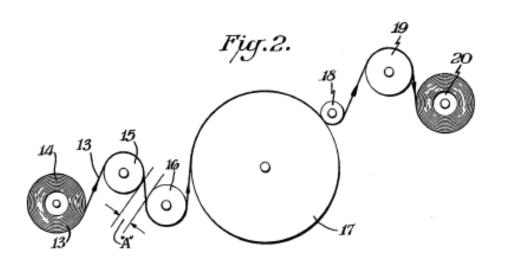
- Copyright protects any production of the human mind, such as literary and artistic works.
  - This production must be an expression and not a mere idea.
  - The expression must be original.
- Copyright creates a special legal relationship between authors and their work.
- It confers legal protection for a limited period of time.

- Economic rights
  - relate to the economic exploitation of the work
  - are freely transferable or licensable
- Moral rights
  - relate to a moral interest of the author
  - are always retained by the author
- Exceptions and limitations
- Infringement and remedies

# TRADE SECRETS

#### What are trade secrets?

- Information that
  - is not generally known or easily discovered
  - has a business, commercial or economic value (actual or potential) because the information is not generally known
  - is subject to reasonable efforts to maintain secrecy
- Unlimited life, provided the information does not become public knowledge.





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